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(54) Title: POLYMERIZATION OF OLEFINS

(57) Abstract: Olefins, such as ethylene, are polymerized using as a polymerization catalyst a complex of a selected transition metal with a monoanionic ligand that has at least three at-oms that may coordinate to the transition metal. Also disclosed are the above selected transition metal complexes, and intermediates thereto.

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TITLE  
POLYMERIZATION OF OLEFINS

FIELD OF THE INVENTION

5        Olefins, such as ethylene, are polymerized using as a polymerization catalyst a complex of a selected transition metal with a monoanionic ligand that has three donor atoms which may coordinate to the transition metal.

TECHNICAL BACKGROUND

10

Polymers of olefins are important items of commerce, and these polymers are used in a myriad of ways, from low molecular weight polyolefins being used as a lubricant and in waxes, to higher molecular weight grades being used for  
15 fiber, films, molding resins, elastomers, etc.

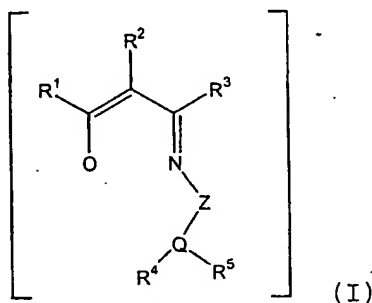
Olefins may be polymerized by a variety of transition metal containing catalysts, for example metallocene and Ziegler-Natta type catalysts. More recently, late transition metal containing polymerization catalysts have also  
20 been discovered, and among them are nickel and other transition metal containing catalysts in which the nickel atom is complexed to a neutral or monoanionic ligand, see for instance US5714556, US5880241, US6060569, WO9842664, WO9842665 and WO9830609, all of which are incorporated by reference  
25 herein for all purposes as if fully set forth. None of these references describes the complexes disclosed herein. Since polyolefins are important commercial materials, new catalysts for their manufacture are constantly being sought.

30

SUMMARY OF THE INVENTION

One aspect of the present invention concerns a first process for the polymerization of olefins, comprising the step of contacting, at a temperature of about -100°C to

about +200°C, one or more monomers selected from the group consisting of ethylene and an olefin of the formula  $H_2C=CH(CH_2)_nG$  (XVII), with an active catalyst comprising a Group 3 to Group 10 transition metal complex of an anion of the formula (I)



wherein:

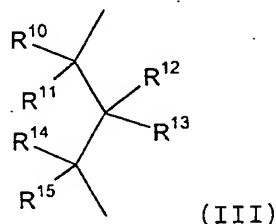
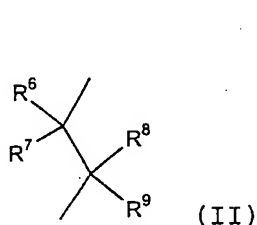
$R^1$  is hydrocarbyl or substituted hydrocarbyl, and  $R^2$  is hydrogen, hydrocarbyl or substituted hydrocarbyl, and provided that  $R^1$  and  $R^2$  taken together may be ortho-arylene or substituted ortho-arylene;

$R^3$  is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group, provided that when  $R^1$  and  $R^2$  taken together are ortho-arylene or substituted ortho-arylene,  $R^3$  may form a fused ring system therewith;

Q is nitrogen, oxygen, phosphorous or sulfur;

$R^4$  and  $R^5$  are each independently hydrogen, hydrocarbyl, or substituted hydrocarbyl, provided that  $R^4$  and  $R^5$  taken together may form a ring, and further provided that when Q is oxygen or sulfur  $R^5$  is not present;

Z is a bridging group of the formula (II) or (III)



$R^6$  is hydrogen, hydrocarbyl or substituted hydrocarbyl, provided that  $R^3$  and  $R^6$  together may form a ring;

R<sup>7</sup> is hydrogen, hydrocarbyl or substituted hydrocarbyl, provided that R<sup>3</sup>, R<sup>6</sup> and R<sup>7</sup> together may form an aromatic ring or R<sup>6</sup> and R<sup>7</sup> taken together may form a ring;

R<sup>8</sup> is hydrogen, hydrocarbyl or substituted hydrocarbyl;

5 R<sup>9</sup> is hydrogen, hydrocarbyl or substituted hydrocarbyl, provided that R<sup>4</sup> and R<sup>9</sup> taken together may be part of a double bond to an imino nitrogen atom, or R<sup>8</sup> and R<sup>9</sup> taken together may form a ring, or R<sup>4</sup>, R<sup>5</sup>, R<sup>8</sup> and R<sup>9</sup> taken together may form an aromatic ring, or R<sup>4</sup> and R<sup>9</sup> taken together may  
10 form a ring, or R<sup>4</sup>, R<sup>8</sup> and R<sup>9</sup> taken together may form a ring, or R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>7</sup>, R<sup>8</sup>, and R<sup>9</sup> taken together may form a fused aromatic ring system;

R<sup>10</sup>, R<sup>11</sup>, R<sup>12</sup> and R<sup>13</sup> are each independently hydrogen, hydrocarbyl or substituted hydrocarbyl or R<sup>10</sup>, R<sup>11</sup>, R<sup>12</sup> and R<sup>13</sup>  
15 taken together are ortho-arylene;

R<sup>14</sup> is hydrogen, hydrocarbyl or substituted hydrocarbyl;

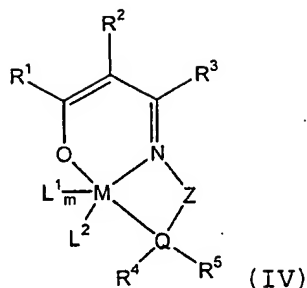
R<sup>4</sup> and R<sup>15</sup> together are part of a double bond to an imino nitrogen atom;

n is an integer of 1 or more;

20 G is hydrogen, -CO<sub>2</sub>R<sup>16</sup> or -C(O)NR<sup>16</sup><sub>2</sub>; and

each R<sup>16</sup> is independently hydrogen, hydrocarbyl or substituted hydrocarbyl.

Another aspect of the present invention concerns a second process for the polymerization of olefins, comprising  
25 the step of contacting, at a temperature of about -100°C to about +200°C, one or more monomers selected from the group consisting of ethylene and H<sub>2</sub>C=CH(CH<sub>2</sub>)<sub>n</sub>G (XVII), with a compound of the formula (IV)



wherein:

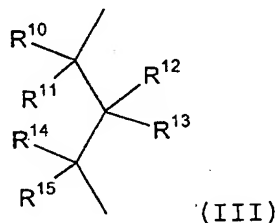
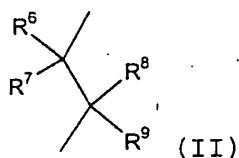
$R^1$  is hydrocarbyl or substituted hydrocarbyl, and  $R^2$  is hydrogen, hydrocarbyl or substituted hydrocarbyl, and provided that  $R^1$  and  $R^2$  taken together may be ortho-arylene or substituted ortho-arylene;

$R^3$  is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group, provided that when  $R^1$  and  $R^2$  taken together are ortho-arylene or substituted ortho-arylene,  $R^3$  may form a fused ring system therewith;

$R^4$  and  $R^5$  are each independently hydrogen, hydrocarbyl, or substituted hydrocarbyl, provided that  $R^4$  and  $R^5$  taken together may form a ring, and further provided that when Q is oxygen or sulfur  $R^5$  is not present;

Q is nitrogen, oxygen, phosphorous or sulfur;

Z is a bridging group of the formula (II) or (III)



$R^6$  is hydrogen, hydrocarbyl or substituted hydrocarbyl, or  $R^3$  and  $R^6$  together may form a ring;

$R^7$  is hydrogen, hydrocarbyl or substituted hydrocarbyl, provided that  $R^3$ ,  $R^6$  and  $R^7$  together may form an aromatic ring, or  $R^6$  and  $R^7$  taken together may form a ring;

$R^8$  is hydrogen, hydrocarbyl or substituted hydrocarbyl;

$R^9$  is hydrogen, hydrocarbyl, substituted hydrocarbyl, or  $R^4$  and  $R^9$  taken together may be part of a double bond to an imino nitrogen atom, or  $R^8$  and  $R^9$  taken together may form a ring, or  $R^4$ ,  $R^5$ ,  $R^8$  and  $R^9$  taken together may form an aromatic ring, or  $R^4$  and  $R^9$  taken together may form a ring, or  $R^4$ ,  $R^8$  and  $R^9$  taken together may form a ring, or  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  taken together may form a fused aromatic ring system;

$R^{10}$ ,  $R^{11}$ ,  $R^{12}$  and  $R^{13}$  are each independently hydrogen, hydrocarbyl or substituted hydrocarbyl, or  $R^{10}$ ,  $R^{11}$ ,  $R^{12}$  and  $R^{13}$  taken together are ortho-arylene;

$R^{14}$  is hydrogen, hydrocarbyl or substituted hydrocarbyl;

$R^4$  and  $R^{15}$  together are part of a double bond to an imino nitrogen atom;

$n$  is an integer of 1 or more;

$G$  is hydrogen,  $-\text{CO}_2R^{16}$ , or  $-\text{C}(\text{O})\text{NR}^{16}_2$ ;

each  $R^{16}$  is independently hydrogen, hydrocarbyl, or substituted hydrocarbyl;

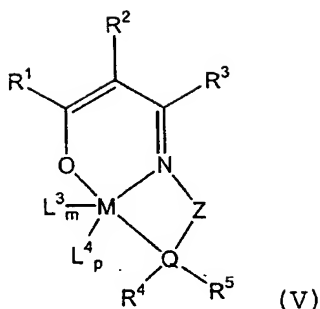
$M$  is a Group 3 to Group 10 transition metal;

$m$  is an integer equal to the valence of  $M$  minus 1; and

each  $L^1$  is independently a monodentate monoanionic ligand and at least for one of  $L^1$  an ethylene molecule may insert between  $L^1$  and  $M$ , and  $L^2$  is a monodentate neutral ligand which may be displaced by ethylene or an empty coordination site, or an  $L^1$  and  $L^2$  taken together are a monoanionic polydentate ligand and at least for one of these polydentate ligands ethylene may insert between said monoanionic polydentate ligand and  $M$ .

In the above-mentioned processes, (IV) and/or the transition metal complex of (I) may in and of themselves be active catalysts, or may be "activated" by contact with a co-catalyst/activator.

The present invention also concerns a compound of the formula (V)



wherein  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ , Q, Z (and all R groups associated with Z), M and m are as defined above for (IV),

p is 0 or 1; and

- 5 each  $L^3$  is independently a monodentate monoanionic ligand, and  $L^4$  is a monodentate neutral ligand or an empty coordination site, or an  $L^3$  and  $L^4$  taken together are a monoanionic bidentate ligand.

Further aspects of the present invention include, for  
 10 example, the anion of the formula (I) as defined above, as well as a Group 3 to Group 10 transition metal complex of such anion.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

15 Herein, certain terms are used. Some of them are:

A "hydrocarbyl group" is a univalent group containing only carbon and hydrogen. As examples of hydrocarbyls may be mentioned unsubstituted alkyls, cycloalkyls and aryls. If not otherwise stated, it is preferred that hydrocarbyl  
 20 groups herein contain 1 to about 30 carbon atoms.

By "substituted hydrocarbyl" herein is meant a hydrocarbyl group that contains one or more substituent groups which are inert under the process conditions to which the compound containing these groups is subjected (e.g., an inert functional group, see below). The substituent groups  
 25 also do not substantially detrimentally interfere with the polymerization process or operation of the polymerization catalyst system. If not otherwise stated, it is preferred

that substituted hydrocarbyl groups herein contain 1 to about 30 carbon atoms. Included in the meaning of "substituted" are chains or rings containing one or more heteroatoms, such as nitrogen, oxygen and/or sulfur, and the free  
5 valence of the substituted hydrocarbyl may be to the heteroatom. In a substituted hydrocarbyl, all of the hydrogens may be substituted, as in trifluoromethyl.

By "(inert) functional group" herein is meant a group other than hydrocarbyl or substituted hydrocarbyl that is  
10 inert under the process conditions to which the compound containing the group is subjected. The functional groups also do not substantially interfere with any process described herein that the compound in which they are present may take part in. Examples of functional groups include  
15 halo (fluoro, chloro, bromo and iodo), ether such as  $-OR^{22}$  wherein  $R^{22}$  is hydrocarbyl or substituted hydrocarbyl. In cases in which the functional group may be near a metal atom the functional group should not coordinate to the metal atom more strongly than the groups in those compounds are shown  
20 as coordinating to the metal atom, that is they should not displace the desired coordinating group.

By a "catalyst activator" is meant a compound that reacts with a transition metal compound to form an activated catalyst species. A preferred catalyst activator is an "alkyl aluminum compound", that is, a compound which has at  
25 least one alkyl group bound to an aluminum atom. Other groups such as alkoxide, hydride, and halogen may also be bound to aluminum atoms in the compound.

By "neutral Lewis base" is meant a compound, which is  
30 not an ion, that can act as a Lewis base. Examples of such compounds include ethers, amines, sulfides and organic nitriles.

By "neutral Lewis acid" is meant a compound, which is not an ion, that can act as a Lewis acid. Examples of such compounds include boranes, alkylaluminum compounds, aluminum halides and antimony [V] halides.

5 By "cationic Lewis acid" is meant a cation that can act as a Lewis acid. Examples of such cations are sodium and silver cations.

By an "empty coordination site" is meant a potential coordination site on a metal atom that does not have a li-  
10 gand bound to it. Thus if an ethylene molecule is in the proximity of the empty coordination site, the ethylene molecule may coordinate to the metal atom.

By a "ligand into which an ethylene molecule may insert" between the ligand and a metal atom is meant a  
15 ligand coordinated to the metal atom into which an ethylene molecule (or a coordinated ethylene molecule) may insert to start or continue a polymerization. For instance, this may take the form of the reaction (wherein L is a ligand):



20 By a "ligand which may be displaced by ethylene" is meant a ligand coordinated to a transition metal, which when exposed to ethylene is displaced as the ligand by the ethylene.

By a "monoanionic ligand" is meant a ligand with one  
25 negative charge.

By a "neutral ligand" is meant a ligand that is not charged.

"Alkyl group" and "substituted alkyl group" have their usual meaning (see above for substituted under substituted  
30 hydrocarbyl). Unless otherwise stated, alkyl groups and

substituted alkyl groups preferably have 1 to about 30 carbon atoms.

By "aryl" is meant a monovalent aromatic group in which the free valence is to the carbon atom of an aromatic ring.

5 An aryl may have one or more aromatic rings which may be fused, connected by single bonds or other groups.

By "substituted aryl" is meant a monovalent aromatic group substituted as set forth in the above definition of "substituted hydrocarbyl". Similar to an aryl, a substituted aryl may have one or more aromatic rings which may be fused, connected by single bonds or other groups; however, when the substituted aryl has a heteroaromatic ring, the free valence in the substituted aryl group can be to a heteroatom (such as nitrogen) of the heteroaromatic ring instead of a carbon.

10

15

By a " $\pi$ -allyl group" is meant a monoanionic ligand with 1  $sp^3$  and two adjacent  $sp^2$  carbon atoms bound to a metal center in a delocalized  $\eta^3$  fashion. The three carbon atoms may be substituted with other hydrocarbyl groups or functional groups.

20

By "ortho-arylene" (or "o-arylene") is meant a divalent aryl group in which the free valencies are on adjacent carbon atoms. The o-arylene ring may be part of a fused and/or heterocyclic ring system and/or contain substituents such as hydrocarbyl groups or functional groups.

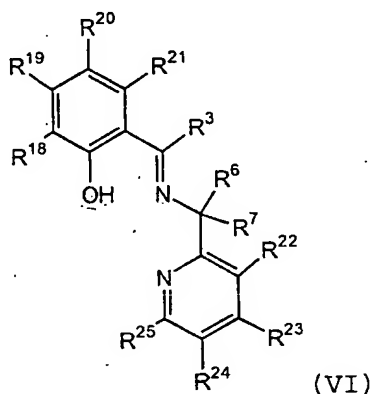
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The polymerizations herein are carried out by a transition metal complex of anion (I). Many of the groups in (I) may have various forms, that is they may be "simple" groups such as hydrogen or alkyl, or they may participate in multiple bonds such as an imino bond to nitrogen or a carbon atom in an aromatic ring and/or they may be part of ring or ring systems. Some of these groups may optionally for instance be part of two different rings. Clearly simple valence

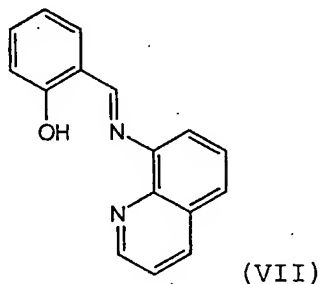
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rules are not broken in these anions and compounds, for example carbon will have a valence of 4. Thus if a particular group is part of one ring, it cannot be part of another ring or group that would violate these simple valence rules.

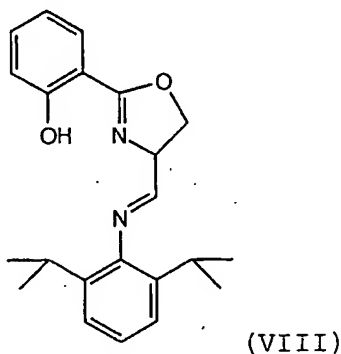
- 5 In order to illustrate this, and since (I) and its conjugate acid and transition metal complexes may have various individual structures, some conjugate acids of these anions are shown below, with some salient features pointed out.



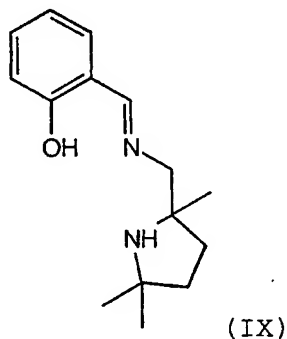
- 10 In (VI),  $R^1$  and  $R^2$  taken together are o-arylene; Z is a bridging group of the formula (II); and  $R^4$ ,  $R^5$ ,  $R^8$  and  $R^9$  taken together form an aromatic ring. In addition, each of  $R^{18}$ ,  $R^{19}$ ,  $R^{20}$ ,  $R^{21}$ ,  $R^{22}$ ,  $R^{23}$ ,  $R^{24}$  and  $R^{25}$  is independently, hydro-
- 15 gen, hydrocarbyl, substituted hydrocarbyl or a functional group, provided that any two of  $R^{18}$ ,  $R^{19}$ ,  $R^{20}$  and  $R^{21}$  vicinal to one another may form a ring, any two of  $R^{22}$ ,  $R^{23}$ ,  $R^{24}$  and  $R^{25}$  vicinal to one another may form a ring, and  $R^3$  and  $R^{21}$  taken together may form a ring.



In (VII),  $R^1$  and  $R^2$  taken together are o-arylene; Z is a bridging group of the formula (II);  $R^3$  is hydrogen; and  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  form a fused aromatic ring system.

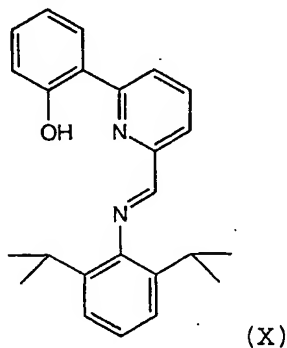


- 5 In (VIII),  $R^1$  and  $R^2$  taken together are o-arylene; Z is a bridging group of the formula (II);  $R^3$  and  $R^6$  taken together form a ring;  $R^7$  and  $R^8$  are hydrogen;  $R^4$  and  $R^9$  taken together form part of an imino bond to nitrogen; and  $R^5$  is 2,6-diisopropylphenyl.



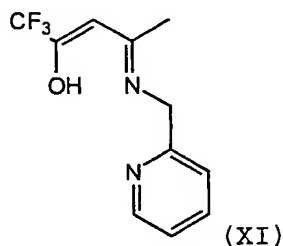
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In (IX),  $R^1$  and  $R^2$  taken together are o-arylene; Z is a group of the formula (II);  $R^3$  is hydrogen;  $R^5$ ,  $R^6$  and  $R^7$  are hydrogen, and  $R^4$  and  $R^9$  taken together form a ring.

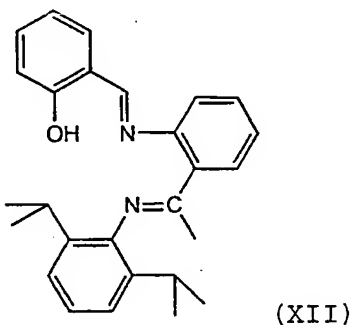


In (X),  $R^1$  and  $R^2$  taken together are o-arylene; Z is a group of the formula (II);  $R^3$ ,  $R^6$  and  $R^7$  together form an aromatic ring;  $R^4$  and  $R^9$  taken together form part of an imino bond to nitrogen;  $R^8$  is hydrogen; and  $R^5$  is 2,6-

5 diisopropylphenyl.



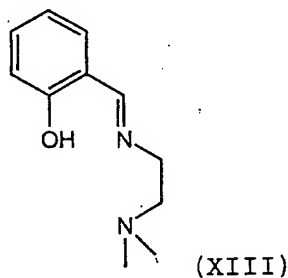
In (XI),  $R^1$  is trifluoromethyl; Z is a group of the formula (II);  $R^2$ ,  $R^6$ , and  $R^7$  are hydrogen;  $R^3$  is methyl; and  $R^4$ ,  $R^5$ ,  $R^8$  and  $R^9$  taken together form an aromatic ring.



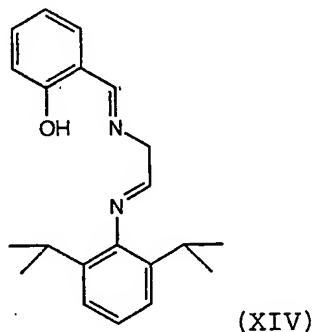
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In (XII),  $R^1$  and  $R^2$  taken together are o-arylene;  $R^3$  is hydrogen; Z is a group of the formula (III);  $R^{10}$ ,  $R^{11}$ ,  $R^{12}$  and  $R^{13}$  taken together are o-arylene;  $R^{14}$  is methyl;  $R^4$  and  $R^{15}$  together are part of a double bond to an imino nitrogen atom; and  $R^5$  is 2,6-diisopropylphenyl.

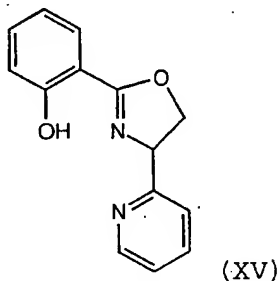
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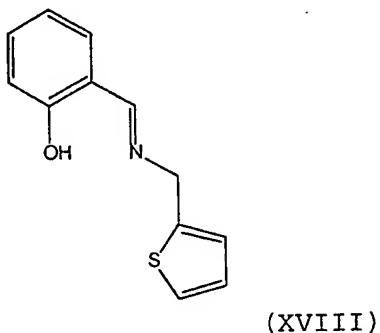
In (XIII),  $R^1$  and  $R^2$  taken together are o-arylene;  $R^3$  is hydrogen; Z is a group of the formula (II);  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  are hydrogen; and  $R^4$  and  $R^5$  are methyl.



5 In (XIV),  $R^1$  and  $R^2$  taken together are o-arylene; Z is a group of the formula (II);  $R^3$ ,  $R^6$ ,  $R^7$  and  $R^8$  are hydrogen;  $R^4$  and  $R^9$  together are part of a double bond to an imino nitrogen atom; and  $R^5$  is 2,6-diisopropylphenyl.



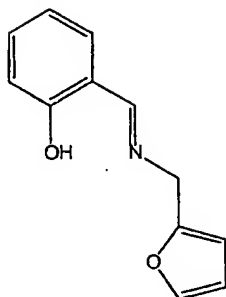
10 In (XV),  $R^1$  and  $R^2$  taken together are o-arylene; Z is a bridging group of the formula (II);  $R^3$  and  $R^6$  taken together form a ring;  $R^7$  is hydrogen; and  $R^4$ ,  $R^5$ ,  $R^8$  and  $R^9$  taken together form an aromatic ring.



15  
20 In (XVIII),  $R^1$  and  $R^2$  taken together are o-arylene; Z is a group of the formula (II);  $R^3$ ,  $R^6$  and  $R^7$  are hydrogen; Q is

sulfur and thus  $R^5$  is not present; and  $R^4$ ,  $R^8$  and  $R^9$  together form a ring.

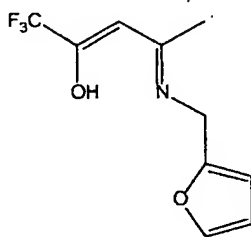
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(XIX)

10 In (XIX),  $R^1$  and  $R^2$  taken together are o-arylene; Z is a group of the formula (II);  $R^3$ ,  $R^6$  and  $R^7$  are hydrogen; Q is oxygen and thus  $R^5$  is not present; and  $R^4$ ,  $R^8$  and  $R^9$  together form a ring.

15



(XX)

20

In (XX),  $R^1$  is trifluoromethyl;  $R^2$  is hydrogen;  $R^3$  is methyl; Z is a group of the formula (II);  $R^6$  and  $R^7$  are hydrogen; Q is oxygen and thus  $R^5$  is not present; and  $R^4$ ,  $R^8$  and  $R^9$  together form a ring.

25

In all of compounds (VI) through (XV) and (XVIII) through (XX), groups and/or substituents may be changed where appropriate, for example methyl groups may be changed to other hydrocarbyl groups or hydrogen, hydrogen may be change to hydrocarbyl or functional groups.

30

A preferred anion (and it conjugate acid and metal complexes) is (VI). In (VI) it is preferred that:

$R^{18}$  and  $R^{21}$  are each independently alkyl containing 1 to 4 carbon atoms, halo, nitro or hydrogen; and/or

$R^{19}$  and  $R^{20}$  are hydrogen;

$R^3$  is hydrogen; and/or

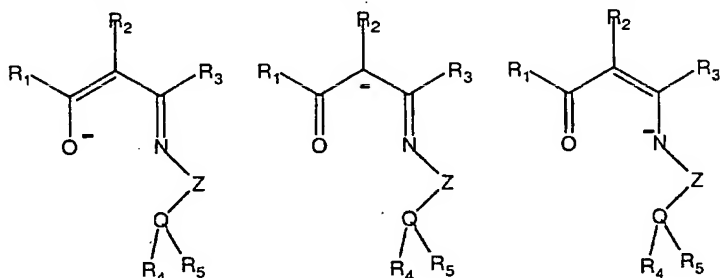
$R^6$  and  $R^7$  are hydrogen; and/or

$R^{22}$ ,  $R^{23}$ ,  $R^{24}$  and  $R^{25}$  are hydrogen; and/or

Q is nitrogen.

- 5 In preferred specific compounds (VI),  $R^{18}$  and  $R^{21}$  are both nitro or t-butyl, and  $R^{19}$ ,  $R^{20}$ ,  $R^3$ ,  $R^6$ ,  $R^7$ ,  $R^{22}$ ,  $R^{23}$ ,  $R^{24}$  and  $R^{25}$  are hydrogen;  $R^{18}$ ,  $R^{21}$ ,  $R^{19}$ ,  $R^{20}$ ,  $R^3$ ,  $R^6$ ,  $R^7$ ,  $R^{22}$ ,  $R^{23}$ ,  $R^{24}$  and  $R^{25}$  are all hydrogen;  $R^{18}$  is t-butyl and  $R^{19}$ ,  $R^{20}$ ,  $R^{21}$ ,  $R^3$ ,  $R^6$ ,  $R^7$ ,  $R^{22}$ ,  $R^{23}$ ,  $R^{24}$  and  $R^{25}$  are hydrogen.

- 10 The structure illustrated in (I) is not meant to preclude other tautomers, and all such tautomers are included herein. For instance such structures may include



- The conjugate acids of (I) can be made by a variety of  
 15 methods, most of which are familiar to the skilled organic synthetic chemist, and which method(s) are chosen will depend on the particular structure desired, such as (VI) through (XV). In all instances, if certain substituents/substitution patterns are desired, starting materials  
 20 with those substituents/substitution patterns may be used. For example (VI) may be made by reacting an appropriate salicylaldehyde with an appropriate 2-aminomethylpyridine. (VII) may be made by reacting salicylaldehyde with  
 8-aminoquinoline. (XIII) can be made by reacting N,N-  
 25 dimethylethylenediamine with salicylaldehyde. (XIV) can be made by reacting salicylaldehyde with 2-(N-2,6-diisopropylphenylimino)ethylamine. (XI) may be made by reacting 1,1,1-trifluoro-2,5-pentanedione with 2-

aminomethylpyridine. (XVIII) may be made by reacting salicylaldehyde with 2-aminomethylthiophene. (XIX) may be made by reacting salicylaldehyde with 2-aminomethylfuran. (XX) may be made by reacting 1,1,1-trifluoro-2,5-pentanedione  
5 with 2-aminomethylfuran:

(I), the anion of the above conjugate acids, can be prepared by reaction of the conjugate acid with a strong base, such as an alkali metal hydride, an alkali metal alkoxide or a lithium disilylamide. It is preferred at this  
10 point that the cation to this anion is an alkali metal cation, such as lithium, sodium and potassium. (I) may isolated as a salt and then used to form the transition metal compound, or may be formed and used in situ to produce the transition metal compound. The transition metal compound of  
15 (I) may be prepared by reacting (I) with an appropriate compound of the transition metal. Especially for early transition metals such as Zr and Ti, the transition metal compound may be a halide such as  $\text{TiCl}_4$  or  $\text{ZrCl}_4$ , in which case the ligands other than (I) attached to the metal will be halide  
20 such as chloride. Especially for late transition metal other types of compounds may be used. For example to make nickel complexes one may use:

$(\text{Ph}_3\text{P})_2\text{Ni}(\text{Ph})(\text{Cl})$  which gives (IV) in which  $\text{L}^1$  is Ph, and  $\text{L}^2$  is  $\text{Ph}_3\text{P}$ ;

25  $(\text{TMEDA})_2\text{Ni}(\text{Ph})(\text{Cl})$  in the presence of a "trapping ligand"  $\text{L}^2$  such as pyridine, which gives (IV) for instance in which  $\text{L}^1$  is Ph, and  $\text{L}^2$  is pyridine;

$(\text{Ph}_3\text{P})_2\text{NiCl}_2$  which gives (IV) in which  $\text{L}^1$  is Cl, and  $\text{L}^2$  is  $\text{Ph}_3\text{P}$ ; and/or

30  $[(\text{allyl})\text{Ni}(\text{X})]_2$  which gives (IV) in which  $\text{L}^1$  and  $\text{L}^2$  taken together are  $\pi$ -allyl.

Methods of synthesis of these types of complexes may also be found in previously incorporated US6060569,

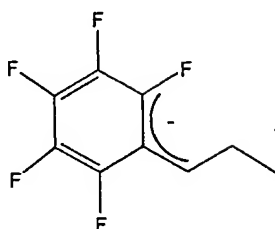
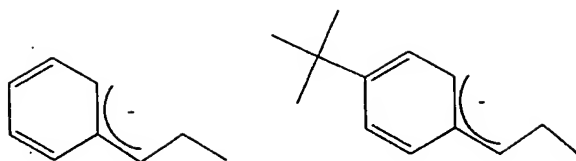
WO9830609 and WO9842664, and in R. H. Grubbs., et al., Organometallics, vol. 17, p. 3149 (1988). If (V) is not already equivalent to (IV), it may be converted to (IV) before or during the polymerization process by reaction with other appropriate compounds (such as cocatalysts).

In some of the structures written herein, such as (IV) and (V), it is not meant that (I) is a tridentate ligand, although it may be. The structures are written as they are for convenience, and to show that the anionic ligands (I) could be tridentate, but it may be only bidentate or even monodentate. Although it is believed in theory the ligands can be tridentate, Applicants do not wish to be bound by this theory.

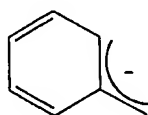
As implied above, (I) will normally be associated with a positively charged species, such as a cation. This may be a transition metal cation (as in (IV)), or may be another cation such as an alkali metal cation.

In (IV) useful groups  $L^1$  include halide (especially chloride), hydrocarbyl and substituted hydrocarbyl especially phenyl and alkyl and particularly phenyl, methyl, hydride and acyl. Useful groups for  $L^2$  include phosphine such as triphenylphosphine, nitrile such as acetonitrile, ethers such as ethyl ether, pyridine, and tertiary alkylamines such as TMEDA (N,N,N',N'-tetramethyl-1,2-ethylenediamine). Alternatively  $L^1$  and  $L^2$  taken together may be a  $\pi$ -allyl or  $\pi$ -benzyl group such as





and



wherein R is hydrocarbyl, and  $\pi$ -allyl and  $\pi$ -benzyl groups are preferred.

5 In another variation,  $L^3$  and  $L^4$  taken together may be a  $\beta$ -diketonate ligand. If this ligand is present in (V), it may be converted to (IV) by use of a suitable alkylating agent such as an alkylaluminum compound, Grignard reagent, or alkyllithium compound.

10 In (IV) when ethylene may insert between  $L^1$  and the transition metal atom, and  $L^2$  is an empty coordination site or is a ligand which may be displaced by ethylene, or  $L^1$  and  $L^2$  taken together are a bidentate monoanionic ligand into which ethylene may be inserted between that ligand and the transition metal atom, (IV) may by itself catalyze the polymerization of an olefin. Examples of  $L^1$  which form bonds with the transition metal into which ethylene may insert are hydrocarbyl and substituted hydrocarbyl, especially phenyl and alkyl, and particularly methyl, hydride and acyl. Lig-  
15  
20 ands  $L^2$  which ethylene may displace include phosphine such as triphenylphosphine, nitrile such as acetonitrile, ether such as ethyl ether, pyridine and tertiary alkylamines such as

TMEDA. Ligands in which  $L^1$  and  $L^2$  taken together are a bidentate monoanionic ligand into which ethylene may insert between that ligand and the transition metal atom include  $\pi$ -allyl- or  $\pi$ -benzyl-type ligands (in this instance, sometimes  
5 it may be necessary to add a neutral Lewis acid cocatalyst such as triphenylborane to initiate the polymerization, see for instance previously incorporated WO9830609). For a summary of which ligands ethylene may insert into (between the ligand and transition metal atom) see, for instance, J.P.  
10 Collman, et al., Principles and Applications of Organotransition Metal Chemistry, University Science Book, Mill Valley, CA, 1987, included herein by reference. If for instance  $L^1$  is not a ligand into which ethylene may insert between it and the transition metal atom, it may be possible to  
15 add a cocatalyst which may convert  $L^1$  into a ligand which will undergo such an insertion. Thus if  $L^1$  is halo such as chloride or bromide, or carboxylate, it may be converted to hydrocarbyl such as alkyl by use of a suitable alkylating agent such as an alkylaluminum compound, a Grignard reagent  
20 or an alkyllithium compound. It may be converted to hydride by use of a compound such as sodium borohydride.

In (V) in one preferred form at least one of  $L^3$  is a ligand into which ethylene may insert between  $L^3$  and the transition metal atom, and  $L^4$ , is an empty coordination site or a  
25 ligand which may be displaced by ethylene. In another preferred form of (V) each of  $L^3$  is a ligand into which ethylene may not insert between that ligand and the transition metal atom, such as halide, especially chloride, and carboxylate.

Generally a cocatalyst (sometimes also called an  
30 activator) which is an alkylating or hydriding agent is also present in the olefin polymerization. A preferred cocatalyst is an alkylaluminum compound, examples of which include trialkylaluminum compounds such as

trimethylaluminum, triethylaluminum and tri-*i*-butylaluminum; alkyl aluminum halides such as diethylaluminum chloride and ethylaluminum chloride; and aluminoxanes such as methylaluminoxane. More than one such cocatalyst may be  
5 used in combination.

In (IV) and other transition metal complexes preferred metals are Pd, Co, Fe, Cr, V, Ti, Zr and Hf. More preferred are Ti, Zr, Pd and Ni, and Ni is especially preferred. Generally speaking early transition metal complexes such as Ti  
10 and Zr produce polymers with the "expected" number and length of branches (see previously incorporated US5880241 for an explanation of "expected" branching). For example homopolyethylene will have essentially no branching (after correcting for end groups), while poly(1-hexene) will have  
15 an *n*-butyl branch every other carbon atom (on average) of the main polymer chain. Polyolefins made with late transition metal complexes such as Ni or Pd will generally have the "wrong" number and branch lengths in the polyolefin. For example, homopolyethylene will often have branches of  
20 methyl and longer.

A preferred olefin is ethylene, and when olefins other than ethylene are polymerized, it is preferred that they be copolymers with ethylene. In other preferred olefins *n* is 1 to 20, and/or G is hydrogen, and/or G is -CO<sub>2</sub>R<sup>16</sup> wherein R<sup>16</sup>  
25 is hydrocarbyl or substituted hydrocarbyl, especially alkyl.

In the polymerization processes herein, the temperature at which the polymerization is carried out is about -100°C to about +200°C, preferably about -60°C to about 150°C, more preferably about -20°C to about 100°C. The pressure of the  
30 olefin (if it is a gas) at which the polymerization is carried out is not critical, atmospheric pressure to about 275 MPa being a suitable range.

The polymerization processes herein may be run in the presence of various liquids, particularly aprotic organic liquids. The catalyst system, monomer(s) and/or polymer may be soluble or insoluble in these liquids, but obviously  
5 these liquids should not prevent the polymerization from occurring. Suitable liquids include alkanes, cycloalkanes, selected halogenated hydrocarbons and aromatic hydrocarbons. Specific useful solvents include hexane, toluene, benzene methylene chloride, and 1,2,4-trichlorobenzene.

10 The olefin polymerizations herein may also initially be carried out in the "solid state" by, for instance, supporting the transition metal compound on a substrate such as silica or alumina, activating it if necessary with one or more cocatalysts and contacting it with the olefin(s). Alternatively, the support may first be contacted (reacted)  
15 with one or more cocatalysts (if needed) such as an alkylaluminum compound, and then contacted with an appropriate transition metal compound. The support may also be able to take the place of a Lewis or Bronsted acid, for instance an  
20 acidic clay such as montmorillonite, if needed. These "heterogeneous" catalysts may be used to catalyze polymerization in the gas phase or the liquid phase. By gas phase is meant that a gaseous olefin is transported to contact with the catalyst particle.

25 In all of the polymerization processes described herein oligomers and polymers of the various olefins are made. They may range in molecular weight from oligomeric POs (polyolefins), to lower molecular weight oils and waxes, to higher molecular weight POs. One preferred product is a  
30 polymer with a degree of polymerization (DP) of about 10 or more, preferably about 40 or more. By "DP" is meant the average number of repeat (monomer) units in a polymer molecule.

Depending on their properties, the polymer made by the processes described herein are useful in many ways. For instance if they are thermoplastics, they may be used as molding resins, for extrusion, films, etc. If they are elastomeric, they may be used as elastomers. If they contain functionalized monomers such as acrylate esters, they are useful for other purposes, see for instance previously incorporated US5880241.

Depending on the process conditions used and the polymerization catalyst system chosen, polymers, even those made from the same monomer(s) may have varying properties. Some of the properties that may change are molecular weight and molecular weight distribution, crystallinity, melting point and glass transition temperature. Except for molecular weight and molecular weight distribution, branching can affect all the other properties mentioned, and branching may be varied (using the same nickel compound) using methods described in previously incorporated US5880241.

It is known that blends of distinct polymers, that vary for instance in the properties listed above, may have advantageous properties compared to "single" polymers. For instance it is known that polymers with broad or bimodal molecular weight distributions may be melt processed (be shaped) more easily than narrower molecular weight distribution polymers. Thermoplastics such as crystalline polymers may often be toughened by blending with elastomeric polymers.

Therefore, methods of producing polymers that inherently produce polymer blends are useful especially if a later separate (and expensive) polymer mixing step can be avoided. However in such polymerizations one should be aware that two different catalysts may interfere with one

another, or interact in such a way as to give a single polymer.

In such a process the transition metal containing polymerization catalyst disclosed herein can be termed the first active polymerization catalyst. Monomers useful with these catalysts are those described (and also preferred) above. A second active polymerization catalyst (and optionally one or more others) is used in conjunction with the first active polymerization catalyst. The second active polymerization catalyst may be another late transition metal catalyst, for example as described in previously incorporated WO9830609, US5880241, US5714556 and US6060569, as well as US5955555, WO99/10391, WO97/38024, WO97/48735, WO98/38228, WO99/46302 and WO99/50318, which are also incorporated by reference herein for all purposes as if fully set forth.

Other useful types of catalysts may also be used for the second active polymerization catalyst. For instance so-called Ziegler-Natta and/or metallocene-type catalysts may also be used. These types of catalysts are well known in the polyolefin field, see for instance Angew. Chem., Int. Ed. Engl., vol. 34, p. 1143-1170 (1995), EP-A-0416815 and US5198401 for information about metallocene-type catalysts, and J. Boor Jr., Ziegler-Natta Catalysts and Polymerizations, Academic Press, New York, 1979 for information about Ziegler-Natta-type catalysts, all of which are hereby included by reference. Many of the useful polymerization conditions for all of these types of catalysts and the first active polymerization catalysts coincide, so conditions for the polymerizations with first and second active polymerization catalysts are easily accessible. Oftentimes the "co-catalyst" or "activator" is needed for metallocene or Ziegler-Natta-type polymerizations. In many instances the same

compound, such as an alkylaluminum compound, may be used as an "activator" for some or all of these various polymerization catalysts.

In one preferred process described herein the first  
5 olefin(s) (the monomer(s) polymerized by the first active polymerization catalyst) and second olefin(s) [the monomer(s) polymerized by the second active polymerization catalyst] are identical, and preferred olefins in such a process are the same as described immediately above. The first  
10 and/or second olefins may also be a single olefin or a mixture of olefins to make a copolymer. Again it is preferred that they be identical particularly in a process in which polymerization by the first and second active polymerization catalysts make polymer simultaneously.

15 In some processes herein the first active polymerization catalyst may polymerize a monomer that may not be polymerized by said second active polymerization catalyst, and/or vice versa. In that instance two chemically distinct polymers may be produced. In another  
20 scenario two monomers would be present, with one polymerization catalyst producing a copolymer, and the other polymerization catalyst producing a homopolymer, or two copolymers may be produced which vary in the molar proportion or repeat units from the various monomers. Other  
25 analogous combinations will be evident to the artisan.

In another variation of this process one of the polymerization catalysts makes an oligomer of an olefin, preferably ethylene, which oligomer has the formula  $R^{70}CH=CH_2$ , wherein  $R^{70}$  is n-alkyl, preferably with an even number of  
30 carbon atoms. The other polymerization catalyst in the process then (co)polymerizes this olefin, either by itself or preferably with at least one other olefin, preferably ethylene, to form a branched polyolefin. Preparation of the oli-

gomer (which is sometimes called an  $\alpha$ -olefin) by a second active polymerization-type of catalyst can be found in previously incorporated US5880241 and WO99/02472 (included by reference).

5        Likewise, conditions for such polymerizations, using catalysts of the second active polymerization type, will also be found in the appropriate above mentioned references.

Two chemically different active polymerization catalysts are used in this polymerization process. The first active polymerization catalyst is described in detail above. The second active polymerization catalyst may also meet the limitations of the first active polymerization catalyst, but must be chemically distinct. For instance, it may have a different transition metal present, and/or  
10        utilize a different type of ligand and/or the same type of ligand which differs in structure between the first and second active polymerization catalysts. In one preferred process, the ligand type and the metal are the same, but the ligands differ in their substituents.

20        Included within the definition of two active polymerization catalysts are systems in which a single polymerization catalyst is added together with another ligand, preferably the same type of ligand, which can displace the original ligand coordinated to the metal of the original active polymerization catalyst, to produce in situ two different polymerization catalysts.

The molar ratio of the first active polymerization catalyst to the second active polymerization catalyst used will depend on the ratio of polymer from each catalyst desired, and the relative rate of polymerization of each catalyst under the process conditions. For instance, if one wanted to prepare a "toughened" thermoplastic polyethylene that contained 80% crystalline polyethylene and 20% rubbery  
30

polyethylene, and the rates of polymerization of the two catalysts were equal, then one would use a 4:1 molar ratio of the catalyst that gave crystalline polyethylene to the catalyst that gave rubbery polyethylene. More than two active polymerization catalysts may also be used if the desired product is to contain more than two different types of polymer.

The polymers made by the first active polymerization catalyst and the second active polymerization catalyst may be made in sequence, i.e., a polymerization with one (either first or second) of the catalysts followed by a polymerization with the other catalyst, as by using two polymerization vessels in series. However it is preferred to carry out the polymerization using the first and second active polymerization catalysts in the same vessel(s), i.e., simultaneously. This is possible because in most instances the first and second active polymerization catalysts are compatible with each other, and they produce their distinctive polymers in the other catalyst's presence. Any of the processes applicable to the individual catalysts may be used in this polymerization process with 2 or more catalysts, i.e., gas phase, liquid phase, continuous, etc.

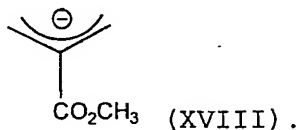
The polymers produced by this process may vary in molecular weight and/or molecular weight distribution and/or melting point and/or level of crystallinity, and/or glass transition temperature and/or other factors. The polymers produced are useful as molding and extrusion resins and in films as for packaging. They may have advantages such as improved melt processing, toughness and improved low temperature properties.

Catalyst components which include transition metal complexes of (I), with or without other materials such as one or more cocatalysts and/or other polymerization catalysts

are also disclosed herein. For example, such a catalyst component could include the transition metal complex supported on a support such as alumina, silica, a polymer, magnesium chloride, sodium chloride, etc., with or without  
5 other components being present. It may simply be a solution of the transition metal complex, or a slurry of the transition metal complex in a liquid, with or without a support being present.

Hydrogen or other chain transfer agents such as silanes  
10 (for example trimethylsilane or triethylsilane) may be used to lower the molecular weight of polyolefin produced in the polymerization process herein. It is preferred that the amount of hydrogen present be about 0.01 to about 50 mole percent of the olefin present, preferably about 1 to about  
15 20 mole percent. When liquid monomers (olefins) are present, one may need to experiment briefly to find the relative amounts of liquid monomers and hydrogen (as a gas). If both the hydrogen and monomer(s) are gaseous, their relative concentrations may be regulated by their partial pressures.

20 In the Examples, all pressures are gauge pressures. Branching was determined by  $^1\text{H}$  NMR, taking the total of the methyl carbon atoms as the number of branches. Branching is uncorrected for end groups. Some of the transition metal complexes may have one or molecules of THF coordinated per  
25 molecule of complex. In the examples the group "(A)" is the  $\pi$ -allyl group (XVIII)



The following abbreviations are used:

$\Delta\text{H}$  - heat of fusion  
30 MI - melt index (2160 g, at 190°C)

MMAO - modified methylaluminoxane (1.7 M in hexane)  
from Akzo Chemicals, Inc.

Mn - number average molecular weight

Mw - weight average molecular weight

5 PMAO-IP - Improved processing MMAO (4.5 M in toluene)  
from Akzo Chemicals, Inc.

PE - polyethylene

RT - room temperature

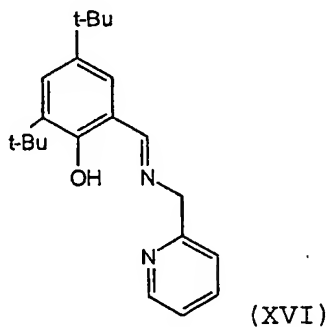
THF - tetrahydrofuran

10 Tm - melting point

### Example 1

#### Synthesis of (XVI)

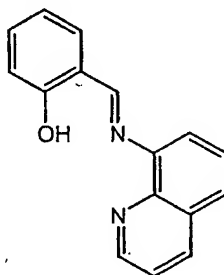
A sample of 2.1809 g (9.30 mmol) of 3,5-di-  
15 butylsalicylaldehyde and 1.0064 g (9.3 mmol) of 2-  
aminomethylpyridine were placed in about 20 mL of methanol  
in a 100 mL flask and 3 drops of formic acid were added at  
RT. Since no precipitate formed, the methanol was removed  
and ether and sodium sulfate were added to the residue. The  
20 yellow solution was filtered through Celite® plug on a frit.  
After removal of the solvent, a yellow solid (2.5508 g, 7.86  
mmol) product was obtained in 85% yield. <sup>1</sup>H NMR (CDCl<sub>3</sub>):  
1.24 (s, 9H, CH<sub>3</sub>), 1.34 (s, 9H, CH<sub>3</sub>), 4.82 (s, 2H, CH<sub>2</sub>), 7.11  
(d, 1H, Ar-H), 7.14 (t, 1H, Py-H), 7.27 (d, 1H, Py-H), 7.3  
25 (d, 1H, Ar-H), 7.63 (t, 1H, Py-H), 8.48 (s and s, 2H, Py-H  
and C-H).



(XVI)

Example 2Synthesis of (XVII)

A sample of 4.445 g (0.021 mol) of 3,5-di-  
nitrosalicylaldehyde and 2.7194 g (0.025 mol) of 2-  
aminomethylpyridine were placed in about 80 mL of methanol  
in a 250 mL flask and 5 drops of formic acid was added at  
RT. A yellow precipitate formed immediately. The reaction  
mixture was stirred overnight and filtered to collect the  
yellow solid which then was dissolved in THF and dried with  
sodium sulfate. After removal of the solvent, a yellow  
solid (5.3088 g, 0.018 mol) was obtained in 84% yield. <sup>1</sup>H  
NMR(CD<sub>2</sub>Cl<sub>2</sub>): 4.94 (s, 2H, CH<sub>2</sub>), 7.22 (t, 1H, Py-H), 7.28 (d,  
1H, Py-H), 7.66 (t, 1H, Py-H), 8.44 (d, 1H, Ar-H), 8.52 (d,  
1H, Ar-H), 8.60 (s, 1H, C-H), 8.78 (d, 1H, Py-H).



(XVII)

Example 3Synthesis of the Sodium salt of (XVII)

In a dry box, 0.0683 g (2.85 mmol) of sodium hydride  
was slowly added to a suspension of (XVII) (0.7818 g, 2.587  
mmol) in 20 mL of THF. An orange precipitate formed while  
hydrogen gas was released. The reaction mixture was stirred  
overnight and filtered to collect the orange solid which  
then was rinsed with THF and pentane and dried under vacuo.  
An orange powder (0.6401 g, 1.97 mmol) was obtained in 76%  
yield.

Example 4Synthesis of the Sodium salt of (XVI)

In a dry box, 0.0966 g (4.025 mmol) of sodium hydride was slowly added to a solution of (XVI) (1.1873 g, 3.66 mmol) in 50 mL of THF. The reaction mixture was stirred overnight and filtered through a Celite® plug on a frit. The solvent was removed and the residue was rinsed with pentane and dried under vacuo. A pale yellow solid (1.1593 g, 3.35 mmol) was obtained in 91% yield. <sup>1</sup>H NMR (C<sub>6</sub>D<sub>6</sub>): 1.28 (m, THF-CH<sub>2</sub>), 1.28 (s, 9H, CH<sub>3</sub>), 1.50 (s, 9H, CH<sub>3</sub>), 3.42 (m, THF-CH<sub>2</sub>), 4.25 (s, 2H, CH<sub>2</sub>), 6.30 (br, 1H, Ar-H), 6.42 (br, 1H, Py-H), 6.78 (br, 1H, Py-H), 6.90 (br, 1H, Ar-H), 7.46 (br, 1H, Py-H), 7.68 (br, 1H, Py-H), 7.92 (br, 1H, C-H).

Example 5Synthesis of (XVII)•Ni(A)

In a dry box, 0.0804 g (0.169 mmol) of  $\pi$ -[H<sub>2</sub>CC(CO<sub>2</sub>Me)CH<sub>2</sub>]Ni( $\mu$ -Br<sub>2</sub>) (see World Patent Application 9830609) and the product of Example 3 (0.1097 g, 0.338 mmol) were mixed in 20 mL of THF and stirred for one h. The reaction mixture was filtered to collect the brown solid which then was rinsed with THF and pentane and dried under vacuo. An orange powder (0.1427 g, 0.31 mmol) was obtained in 92% yield.

Example 6Synthesis of (XVI)•Ni(A)

In a dry box, 0.0995 g (0.209 mmol) of methyl methacrylate nickel bromide dimer and the product of Example 4 (0.1450 g, 0.418 mmol) were mixed in 20 mL of THF and stirred for one h. The solvent was removed under vacuo. The dark brown residue was dissolved in methylene chloride and the solution was filtered through Celite® plug on a frit. After removal of the solvent, the brown solid was rinsed

with pentane and dried under vacuo. Product (0.1203 g, 0.25 mmol) was obtained in 60% yield. The  $^1\text{H}$  NMR was complex.

#### Example 7

##### Synthesis of (XVII)•TiCl<sub>3</sub>

5 In a dry-box, a suspension of 0.1197 g (0.3695 mmol) of the product of Example 3 (0.1097 g, 0.338 mmol) in 20 mL of a mixture of toluene and THF (1:1) was added dropwise to a pre-cooled solution of TiCl<sub>4</sub>(THF)<sub>2</sub> (0.1234 g, 0.3695 mmol) in 20 mL of toluene at -30°C. The brown reaction mixture was  
10 stirred 3 d and filtered to collect the solid, which was then rinsed with THF and pentane and dried under vacuo. A light brown powder (0.1109 g, 0.24 mmol) was obtained in 66% yield.

#### Example 8

##### Synthesis of (XVI)•TiCl<sub>3</sub>

15 In a dry-box, a solution containing a sample of 0.2135 g (0.616 mmol) of the product of Example 4 in 20 mL of toluene was added dropwise to a pre-cooled solution of TiCl<sub>4</sub>(THF)<sub>2</sub> (0.2058 g, 0.616 mmol) in 20 mL of toluene at -  
20 30°C. The red reaction mixture was stirred 3 d and filtered through a Celite® plug on top of a frit. Removed the solvent, rinsed the residue with pentane and dried under vacuo. An orange powder (0.2624 g, 0.55 mmol) was obtained in 89% yield.  $^1\text{H}$  NMR (CD<sub>2</sub>Cl<sub>2</sub>): 1.41 (s, 9H, CH<sub>3</sub>), 1.60 (s,  
25 9H, CH<sub>3</sub>), 5.54 (s, 2H, CH<sub>2</sub>), 7.48 (d, 1H, Ar-H), 7.57 (d, 1H, Py-H), 7.64 (t, 1H, Py-H), 7.78 (d, 1H, Ar-H), 8.08 (t, 1H, Py-H), 8.45 (s, 1H, C-H), 9.46 (d, 1H, Py-H).

#### Example 9

##### Synthesis of (XVI)•ZrCl<sub>3</sub>

30 In a dry-box, a solution of 0.1920 g (0.554 mmol) of the product of Example 4 in 20 mL of toluene was added dropwise to a pre-cooled solution of ZrCl<sub>4</sub>(THF)<sub>2</sub> (0.2091 g, 0.554 mmol) in 20 mL of toluene at -30°C. The yellow reaction

mixture was stirred 3 d and filtered through a Celite® plug on top of a frit. Removed the solvent, rinsed the residue with pentane and dried under vacuo. A yellow powder (0.2528 g, 0.485 mmol ) was obtained in 88% yield.  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):

5 1.27 (s, 9H,  $\text{CH}_3$ ), 1.44 (s, 9H,  $\text{CH}_3$ ), 1.73 (br,  $\text{CH}_2$ , THF), 3.60 (br,  $\text{CH}_2$ , THF), 5.38 (s, 2H,  $\text{CH}_2$ ), 7.30 (d, 1H, Ar-H), 7.53 (m, 2H, Py-H), 7.65 (d, 1H, Ar-H), 8.02 (t, 1H, Py-H), 8.40 (s, 1H, C-H), 9.05 (d, 1H, Py-H).

#### Example 10

##### 10 Synthesis of (XVI)•CoCl

In a drybox, a solution containing a sample of 0.3103 g (0.8957 mmol) of the product of Example 4 in 20 mL of THF was added dropwise to a pre-cooled suspension of  $\text{CoCl}_2$  (0.1163 g, 0.8957 mmol) in 10 mL of toluene at  $-30^\circ\text{C}$ . The  
15 red brown reaction mixture was stirred overnight. Removed the solvent, extracted the residue with methylene chloride and dried under vacuo. A yellowish green powder (0.3103 g, 0.743 mmol) was obtained in 83% yield.  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ): very broad due to paramagnetism.

##### 20 Example 11

##### Synthesis of (XVI)•CrCl<sub>2</sub>

In a dry-box, a solution of 0.1248 g (0.36 mmol) of the product of Example 4 in 20 mL of toluene was added dropwise to a pre-cooled solution of  $\text{CrCl}_3(\text{THF})_3$  (0.1350 g, 0.36 mmol)  
25 in 20 mL of toluene and 2 mL of THF at  $-30^\circ\text{C}$ . The brown reaction mixture was stirred 3 d and filtered through a Celite® plug on top of a frit. After removing the solvent, the residue was rinsed with pentane and dried under vacuo. A brown powder (0.112 g, 0.25 mmol) was obtained in 70%  
30 yield.  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ): very broad due to paramagnetism.

Examples 12-22Polymerization of Ethylene

In a drybox, 0.02 mmol of the transition metal compound (catalyst) was placed in a glass vial and dissolved in 5 mL of 1,2,4-trichlorobenzene. The vial was cooled to -30°C in the drybox freezer. PMAO was added to the vial on top of the frozen solution, then the vial was capped, sealed and placed into a shaker tube which was then shaken mechanically under 3.45 MPa of ethylene in a shaker apparatus outside the dry box for about 18 h. The reaction mixture was slowly poured to a 100 mL of methanol solution of concentrated HCl (10% volume). The mixture was stirred overnight and filtered. The polymer was collected on a frit, washed with acetone and dried in vacuo.

If a cocatalyst was triarylborane, catalyst and cocatalyst were placed in the reaction vial and cooled at -30°C, then 1,2,4-trichlorobenzene was added.

Results of the polymerization are given in Table 1.

Table 1

Ex.	Catalyst	Cocatalyst (equiv.)	PE (g)	Productivity (mol PE/mol Catalyst)	MI	M <sub>w</sub>	T <sub>m</sub> (°C), ΔH (J/g)	Me/1000 CH <sub>2</sub>
12	(XVI)•Ni(A)	BPh <sub>3</sub> (20)	0	0				
13	(XVI)•Ni(A)	B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (20)	3.2882	5757	198	9694 bi-modal	43.98, --- 115.41, 4.509	83.92
14	(XVI)•Ni(A)	MMAO (300)	1.2006	2000	56.4	53499 bi-modal	119.81, 30.8	108.26
15	(XVII)•Ni(A)	BPh <sub>3</sub> (20)	0	0				
16	(XVII)•Ni(A)	B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> (20)	1.076	1779	0.33	181632 very broad	127.74, 204.8	19.5
17	(XVII)•Ni(A)	MMAO (300)	2.0038	3489	0.06	279468 bi-modal	126.26, 166.2	28.25
18	(XVI)•CoCl	MMAO (300)	0.2084	358		30160	126.96, 63.81	72.45
19	(XVI)•TiCl <sub>3</sub> <sup>a</sup>	MMAO (500)	8.4812	2.83 x 10 <sup>4</sup>	0	insoluble	133.98, 188.6	7.97
20	(XVII)•TiCl <sub>3</sub> <sup>a</sup>	MMAO (500)	4.9081	1.66 x 10 <sup>4</sup>	0	insoluble	127.49, 198.3	7.6
21	(XVI)•CrCl <sub>2</sub>	MMAO (500)	0.0558	100		49926	129.71, 226.7	21.22
22	(XVI)•ZrCl <sub>3</sub>	MMAO (500)	7.489	1.28 x 10 <sup>4</sup>	0	insoluble	135.49, 208.5	0

<sup>a</sup>. 0.01 mmol catalyst.

Examples 23-25Copolymerization of 1-Hexene and Ethylene

In a drybox, 0.005 mmol of the catalyst was placed in a glass vial and dissolved in 3 mL of 1,2,4-trichlorobenzene. The vial was cooled to -30 C in the drybox freezer. PMAO (500 equiv.) and 2 mL of 1-hexene then were added to the vial on top of the frozen solution, then the vial was capped, sealed and placed into a shaker tube which was then shaken mechanically in a shaker apparatus under 1.38 MPa ethylene for about 18 h. The reaction mixture was slowly poured to a 100 mL of methanol solution of concentrated HCl (10% volume). The mixture was stirred overnight and filtered. The polymer was collected on a frit, washed with acetone and dried in vacuo. The copolymer formed was high in molecular weight because in the melt index test there was no flow. Results of the polymerizations are given in Table 2.

Table 2

Ex	Catalyst	Copolymer (g)	Productivity (kg polymer/mol Cat.)	T <sub>m</sub> (°C), ΔH(J/g)	Me / 1000 CH <sub>2</sub>
23	(XVII)•TiCl <sub>3</sub>	0.9151	42.9	120.27, 59.96	54.89
24	(XVI)•TiCl <sub>3</sub>	4.1818	951.3	114.84, 51.83	38.46
25	(XVI)•ZrCl <sub>3</sub>	3.0236	525.1	129.07, 116.0	14.94

20

Example 26Polymerization of Ethylene

In a drybox, 40 mL of toluene and 0.93 mL (4.29 mmol) of PMAO-IP were placed in a 100 mL Schlenk flask and stirred 3 min. Then 0.0041 g (0.0086 mmol) of (XVI)•TiCl<sub>3</sub> was added to the solution. The flask was sealed, removed from the drybox and attached to an ethylene Schlenk line. After pumping off the air and nitrogen and purging with ethylene,

the reaction mixture was stirred 20 min under ethylene (34.5 kPa) and quenched with 50 mL of a methanol solution of concentrated HCl (10% volume). The polymer was collected on a frit, washed with methanol and acetone thoroughly and then, dried in vacuo overnight. A crystalline white polymer (1.0257 g) was obtained with  $M_w = 387728$ ,  $M_w/M_n = 3.82$ ;  $Me/1000CH_2$  ( $^1H$  NMR in TCE- $d_2$ ) = 0.0 and  $T_m = 134.31$ ,  $\Delta H = 165.0$  J/g.

#### Example 27

##### 10      Copolymerization of 1-Hexene and Ethylene

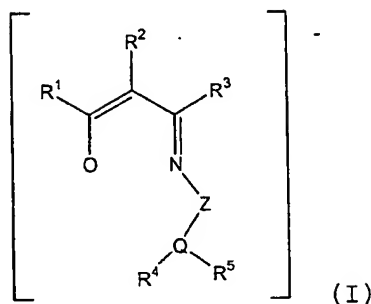
In a drybox, 40 mL of toluene, 0.9 mL (4.05 mmol) of PMAO-IP and 5 mL of 1-hexene were placed in a 100 mL Schlenk flask and stirred 3 min. Then 0.0039 g (0.0082 mmol) of the (XVI)- $TiCl_3$  was added to the solution. The flask was sealed, removed from the drybox and attached to an ethylene Schlenk line. After pumping off the air and nitrogen and purging with ethylene, the reaction mixture was stirred 45 min under ethylene (34.5 kPa) and quenched with 50 mL of a methanol solution of concentrated HCl (10% volume). The polymer was collected on a frit, washed with methanol and acetone thoroughly, and then dried in vacuo overnight. A rubbery white polymer (1.705 g, 207.93 kg polymer/mol catalyst) was obtained with  $M_w = 624577$ ,  $M_w/M_n = 3.02$ ;  $Me/1000CH_2$  ( $^1H$  NMR in TCE- $d_2$ ) = 46.83 and  $T_m = 76.95$ ,  $\Delta H = 33.35$  J/g.

25

CLAIMS

What is claimed is:

1. An anion of the formula (I)



5 wherein:

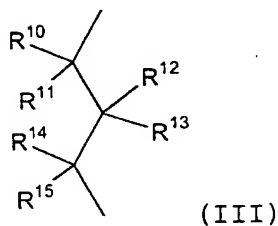
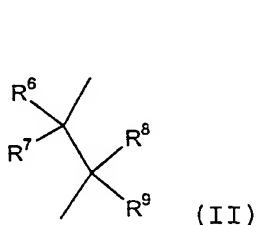
R<sup>1</sup> is hydrocarbyl or substituted hydrocarbyl, and R<sup>2</sup> is hydrogen, hydrocarbyl or substituted hydrocarbyl, and provided that R<sup>1</sup> and R<sup>2</sup> taken together may be ortho-arylene or substituted ortho-arylene;

10 R<sup>3</sup> is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group, provided that when R<sup>1</sup> and R<sup>2</sup> taken together are ortho-arylene or substituted ortho-arylene, R<sup>3</sup> may form a fused ring system therewith;

Q is nitrogen, oxygen, phosphorous or sulfur;

15 R<sup>4</sup> and R<sup>5</sup> are each independently hydrogen, hydrocarbyl, or substituted hydrocarbyl, provided that R<sup>4</sup> and R<sup>5</sup> taken together may form a ring, and further provided that when Q is oxygen or sulfur R<sup>5</sup> is not present;

Z is a bridging group of the formula (II) or (III)



20

R<sup>6</sup> is hydrogen, hydrocarbyl or substituted hydrocarbyl, provided that R<sup>3</sup> and R<sup>6</sup> together may form a ring;

R<sup>7</sup> is hydrogen, hydrocarbyl or substituted hydrocarbyl, provided that R<sup>3</sup>, R<sup>6</sup> and R<sup>7</sup> together may form an aromatic ring or R<sup>6</sup> and R<sup>7</sup> taken together may form a ring;

R<sup>8</sup> is hydrogen, hydrocarbyl or substituted hydrocarbyl;

5 R<sup>9</sup> is hydrogen, hydrocarbyl or substituted hydrocarbyl, provided that R<sup>4</sup> and R<sup>9</sup> taken together may be part of a double bond to an imino nitrogen atom, or R<sup>8</sup> and R<sup>9</sup> taken together may form a ring, or R<sup>4</sup>, R<sup>5</sup>, R<sup>8</sup> and R<sup>9</sup> taken together may form an aromatic ring, or R<sup>4</sup> and R<sup>9</sup> taken together may  
10 form a ring, or R<sup>4</sup>, R<sup>8</sup> and R<sup>9</sup> taken together may form a ring, or R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>7</sup>, R<sup>8</sup>, and R<sup>9</sup> taken together may form a fused aromatic ring system;

R<sup>10</sup>, R<sup>11</sup>, R<sup>12</sup> and R<sup>13</sup> are each independently hydrogen, hydrocarbyl or substituted hydrocarbyl or R<sup>10</sup>, R<sup>11</sup>, R<sup>12</sup> and R<sup>13</sup>  
15 taken together are ortho-arylene;

R<sup>14</sup> is hydrogen, hydrocarbyl or substituted hydrocarbyl;

R<sup>4</sup> and R<sup>15</sup> together are part of a double bond to an imino nitrogen atom.

20 2. The anion as recited in claim 1, characterized in that Q is nitrogen.

3. The anion as recited in claim 2, characterized in that R<sup>1</sup> and R<sup>2</sup> taken together are o-arylene, Z is a group of  
25 the formula (II), and R<sup>4</sup>, R<sup>5</sup>, R<sup>8</sup> and R<sup>9</sup> taken together form an aromatic ring.

4. A Group 3 to Group 10 transition metal complex of the anion of the formula (I) as set forth in claim 1.

30

5. The complex as recited in claim 4, characterized in that Q is nitrogen.

6. The complex as recited in claim 5, characterized in that  $R^1$  and  $R^2$  taken together are o-arylene, Z is a group of the formula (II), and  $R^4$ ,  $R^5$ ,  $R^8$  and  $R^9$  taken together form an aromatic ring.

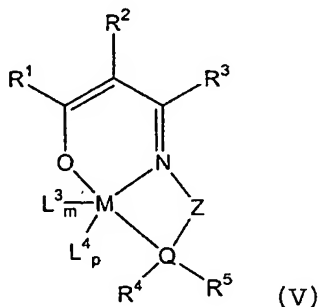
5

7. The complex as recited in claim 4, characterized in that the transition metal is selected from the group consisting of Ni, Pd, Co, Fe, Cr, V, Ti, Zr and Hf.

10 8. The complex as recited in claim 7, characterized in that the transition metal is selected from the group consisting of Ni, Pd, Zr and Ti.

15 9. The complex as recited in claim 8, characterized in that the transition metal is Ni.

10. The complex as recited in any one of claims 4-9, having the formula (V)



20 wherein:

M is the transition metal;

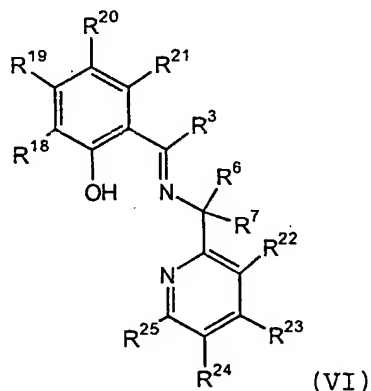
m is an integer equal to the valence of M minus 1;

p is 0 or 1; and

25 each  $L^3$  is independently a monodentate monoanionic ligand, and  $L^4$  is a monodentate neutral ligand or an empty coordination site, or an  $L^3$  and  $L^4$  taken together are a monoanionic bidentate ligand.

11. A process for the polymerization of olefins, comprising the step of contacting, at a temperature of about -100°C to about +200°C, one or more monomers selected from the group consisting of ethylene and an olefin of the formula  $H_2C=CH(CH_2)_nG$  (XVII), with an active catalyst, characterized in that the active catalyst comprises a complex as set forth in any one of claims 4-9.

12. The process as recited in claim 11, characterized in that the conjugate acid of the anion is of the formula (VI)



wherein each of  $R^{18}$ ,  $R^{19}$ ,  $R^{20}$ ,  $R^{21}$ ,  $R^{22}$ ,  $R^{23}$ ,  $R^{24}$  and  $R^{25}$  is independently, hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group, provided that any two of  $R^{18}$ ,  $R^{19}$ ,  $R^{20}$  and  $R^{21}$  vicinal to one another may form a ring, any two of  $R^{22}$ ,  $R^{23}$ ,  $R^{24}$  and  $R^{25}$  vicinal to one another may form a ring, and  $R^3$  and  $R^{21}$  taken together may form a ring.

13. The process as recited in claim 11, characterized in that the active catalyst is obtained by contacting the transition metal complex with a catalyst activator.

14. The process as recited in claim 11, characterized in that the monomer is ethylene.

15. A process for the polymerization of olefins, comprising the step of contacting, at a temperature of about -100°C to about +200°C, one or more monomers selected from the group consisting of ethylene and an olefin of the formula  $H_2C=CH(CH_2)_nG$  (XVII), with an active catalyst, characterized in that the active catalyst comprises a complex of the formula (V) as set forth in claim 10.

16. The process as recited in claim 15, characterized in that the monomers and compound of the formula (V) are contacted in the further presence of a catalyst activator.

17. The process as recited in claim 15, characterized in that the monomer is ethylene.

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(54) Title: POLYMERIZATION OF OLEFINS

(57) Abstract: Olefins, such as ethylene, are polymerized using as a polymerization catalyst a complex of a selected transition metal with a monoanionic ligand that has at least three at-oms that may coordinate to the transition metal. Also disclosed are the above selected transition metal complexes, and intermediates thereto.

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## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 874 005 A (MITSUI CHEMICALS, INC., JAPAN) 28 October 1998 (1998-10-28) page 81; example L33 page 130; example 65 page 141 -page 146; tables 1-7	1-11, 13-17
X	WANG, ZHENG ET AL: "Design, Synthesis, and X-ray Structural Characterization of New Dinucleating Macrocyclic Ligands and a Novel Phenolate-Bridged Dylanthanum(III) Complex" INORG. CHEM. (1997), 36(4), 629-636 , XP001014328 page 630 --- -/--	4,6,10

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>RAO, CHEBROLU P. ET AL: "Oxo-metal complexes of alkoxo rich ligands and reactivity of vanadium complexes"</p> <p>PROC. - INDIAN ACAD. SCI., CHEM. SCI. (1999), 111(3), 479-487 ,</p> <p>XP001014286</p> <p>page 479 -page 480</p> <p>---</p>	4-7
X	<p>RATH, SANKAR PRASAD ET AL: "Synthesis, Structure, and Catecholase Reaction of a Vanadate Ester System Incorporating Monoionized Catechol Chelation"</p> <p>INORG. CHEM. (1999), 38(20), 4376-4377 ,</p> <p>XP001014327</p> <p>page 4376; example 2</p> <p>---</p>	4-7
X	<p>LIGTENBARG, ALETTE G. J. ET AL: "Vanadium(V) complexes based on a bis(pyridine)-imine ligand (HL); synthesis and crystal structure of a dioxovanadium(V) complex involving a ligand cyclisation"</p> <p>J. CHEM. SOC., DALTON TRANS. (1999), (5), 659-662 ,</p> <p>XP001010414</p> <p>page 660</p> <p>---</p>	4-7
X	<p>ASGEDOM, GEBRAY ET AL: "Monooxovanadium(V) mixed ligand complexes of Schiff bases and catecholates: synthesis, spectral and electrochemical characterization"</p> <p>POLYHEDRON (1996), 15(21), 3731-3739 ,</p> <p>XP001014329</p> <p>page 3732 -page 3733; table 1</p> <p>---</p>	4-7
X	<p>ASGEDOM, GEBRAY ET AL: "Structure, characterization and photoreactivity of monomeric dioxovanadium(V) Schiff-base complexes of trigonal-bipyramidal geometry"</p> <p>J. CHEM. SOC., DALTON TRANS. (1996), (1), 93-7 ,</p> <p>XP001010413</p> <p>page 93 -page 94</p> <p>---</p> <p>-/--</p>	4-7

## INTERNATIONAL SEARCH REPORT

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DATABASE CHEMABS [Online] CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; ABRAMENKO, V. L. ET AL: "Molybdenyl complexes with o-hydroxyazomethines" retrieved from STN Database accession no. 103:152599 XP002173438 abstract & KOORD. KHIM. (1985), 11(7), 918-27 , ---	4-6
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International Application No  
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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

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X	DATABASE CHEMABS [Online] CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; BERINGHELLI, T. ET AL: "Different routes of electron transfer to oxygen, in polydentate Schiff base cobalt(II) complexes" retrieved from STN Database accession no. 100:150034 XP002173439 abstract & CONGR. NAZ. CHIM. INORG., [ATTI], 15TH (1982), 233-6 PUBLISHER: SOC. CHIM. ITAL. DIV. CHIM. INORG., BARI, ITALY. ,	4-7
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International Application No  
PCT/US 00/34195

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

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# INTERNATIONAL SEARCH REPORT

Int. application No.  
PCT/US 00/34195

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.: 1-17 (in part)  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

As a result of the prior review under R. 40.2(e) PCT,  
no additional fees are to be refunded.

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☒ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1-17 (in part)

Present claims 1-17 relate to an extremely large number of possible compounds. Support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the compounds claimed. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been carried out for those parts of the claims which appear to be supported and disclosed, namely those parts relating to the compounds derivable from the Markush formula VI of claim 12 where additionally R6 or R7, independently taken, may form a substituted or unsubstituted phenyl ring with R22.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-3 (in part)

The subject matter of claims 1-3 within the scope of the incomplete search

2. Claims: 4-17 (in part)

The subject matter of claims 4-17 within the scope of the incomplete search insofar as they relate to complexes of group 3 of the periodic table of elements.

3. Claims: 4-17 (in part)

The subject matter of claims 4-17 within the scope of the incomplete search insofar as they relate to complexes of group 4 of the periodic table of elements.

4. Claims: 4-17 (in part)

The subject matter of claims 4-17 within the scope of the incomplete search insofar as they relate to complexes of group 5 of the periodic table of elements.

5. Claims: 4-17 (in part)

The subject matter of claims 4-17 within the scope of the incomplete search insofar as they relate to complexes of group 6 of the periodic table of elements.

6. Claims: 4-17 (in part)

The subject matter of claims 4-17 within the scope of the incomplete search insofar as they relate to complexes of group 7 of the periodic table of elements.

7. Claims: claims 4-17 (in part)

The subject matter of claims 4-17 within the scope of the incomplete search insofar as they relate to complexes of group 8 of the periodic table of elements.

8. Claims: claims 4-17 (in part)

The subject matter of claims 4-17 within the scope of the incomplete search insofar as they relate to complexes of

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

group 9 of the periodic table of elements.

9. Claims: claims 4-17 (in part)

The subject matter of claims 4-17 within the scope of the incomplete search insofar as they relate to complexes of group 10 of the periodic table of elements.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/34195

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